

ELECTRONIC TRANSMISSION AND TRACKING OF DEPOSIT INFORMATION

5 BACKGROUND OF THE INVENTION

The present invention relates generally to drop safe systems and methods, and more particularly, to a system and method of tracking cash deposited into and withdrawn from a safe for a given work day using an electronic transmission of deposit information to a cash information server.

Business establishments, e.g., convenience stores, perform numerous business transactions on a daily basis. Cash, in the form of coins, paper money, checks and so on, is often exchanged during these business transactions. To reduce the threat of theft of the cash, the cash is often deposited into a safe. Cash is deposited directly into the safe via slots ("cash drop") or by first placing the cash into envelopes and then placing the envelopes into the safe via slots ("envelope drop"). Thus, cash is deposited without having to open the safe and, as a result, the threat of theft is reduced. However, the amount of cash deposited into the safe is not yet verified by management. Accordingly, when the business establishment wants to determine the amount of cash deposited in a given working day, the safe must be opened and the cash counted. As a result, once the safe is opened, the threat of theft is greatly increased.

Also, traditionally, operators of the safe, e.g., store managers or business owners, remove the cash and complete a deposit ticket. The deposit ticket includes information regarding the cash removed. The deposit ticket is provided with the cash both of which are transported to a bank. The bank upon receipt of the cash, reconciles the actual cash transported with the information regarding the cash transported as indicated on the deposit ticket. The bank inputs the information regarding the cash transported and appropriately updates the business account to reflect the cash transported and deposited. Thus,

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time is lost in counting cash, locating discrepancies, investigating shortages and preparing bank deposits.

5 Electronic drop safes configured with bill validators that count cash as it is collected reduces the amount of time required to count cash. Also, compiling information regarding the cash received, such as determining how much money was collected during a shift, assists in locating discrepancies, investigating
10 shortages and preparing bank deposits. However, problems still arise in balancing a store's actual daily sales receipts with the amount of cash in the safe because the cash in the safe is typically withdrawn at times that do not coincide with the end of a business day. Therefore, cash from more than one business
15 day is co-mingled in the safe, which requires special attention. Accordingly, a need still exists for a system that tracks deposit information to permit prompt reconciling of daily sales receipts against cash deposited into the safe.

20 SUMMARY OF THE INVENTION

The present invention is embodied in a cash control system that tracks deposit information to permit prompt reconciling of daily sales receipts against cash deposited into the safe. The cash control system includes a safe assembly including a safe for
25 securing cash and a currency reader coupled to the safe for determining the amount of cash received in the safe. A central control unit is configured to receive deposit information from the safe assembly and to generate and transmit deposit reports concerning deposit information accumulated during an accounting
30 period (e.g., a business day). The central control unit can also transmit identifiers that distinguish deposit information accumulated during an accounting period before a predetermined event, e.g., an armored car cash pickup, and deposit information accumulated during the accounting period after the predetermined
35 event. A cash information server is configured to receive the

deposit information and the identifiers from the central control unit and to identify the amount of cash collected by the business establishment during the accounting period.

In alternative embodiments, the cash control system can include a printer with a bar code generator coupled to the central control unit and/or a MICR line reader coupled to the central control unit to read MICR line information. The central control unit may be configured to transmit the MICR line information to the cash information server.

In another embodiment, the cash control system includes at least one additional safe assembly including a safe for securing cash and a currency reader coupled to the safe for determining the amount of cash received in the safe. The central control unit is preferably configured to receive deposit information from the additional safe assembly and to transmit deposit reports to the cash information server containing deposit information received from the additional safe assembly.

In another embodiment, the safe is associated with a unique number which is included in the deposit reports transmitted by the central control unit. The central control unit may also be configured to identify when the safe is opened and the cash stored in the safe is removed.

In another embodiment, the identifiers are a sequence number and an alternate sequence number. The central control unit may be configured to adjust the sequence number and the alternate sequence number based on cash being removed from the safe. The central control unit may be configured to change one of the sequence number and the alternate sequence number at the time cash is removed from the safe and to change the other of the sequence number and the alternate sequence number at the end of the business day. The central control unit can also be configured to transmit a first deposit report that identifies deposit information for the business day up to the time of

removal of cash from the safe. Preferably, the sequence number and alternate sequence number are different on the first deposit report. The central control unit can also be configured to transmit a second deposit report that identifies deposit information for the business day starting from removal of cash from the safe. Preferably, the sequence number and the alternate sequence number on the second deposit report are the same.

10 A method of monitoring cash deposited and removed at a business establishment having one or more cash registers and using a cash control system is also described herein. The method includes collecting cash at a cashier's station through sales transactions. Some of the cash from the sales transactions is secured into the cash control system. Deposit information is determined based on the cash secured and the deposit information is transmitted to an off-site location. The deposit information may then be reconciled to the collected cash based on information transmitted to the off-site location. The deposit information may be transmitted from the off-site location to corporate offices of the business establishment, or alternatively, a website may be updated with the deposit information and the deposit information accessed from the website.

Other features and advantages of the present invention will become apparent from the following description of the preferred embodiments, taken in conjunction with the accompanying drawings, which illustrate, by way of example, the principals of the invention.

30 BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates a block diagram of one embodiment of the cash control system of the present invention;

FIG. 2 illustrates a block diagram of one embodiment of a safe controller of the present invention;

FIG. 3 illustrates a block diagram of one embodiment of the cash control system of the present invention;

5 FIG. 4 illustrates an exemplary operational graph that includes time periods and indicators used in the tracking of cash collected and removed in the cash control system of the present invention; and

10 FIG. 5 illustrates a flow diagram of a process of tracking cash collected and removed in the cash control system of the present invention.

DETAILED DESCRIPTION

20 In FIG. 1, a cash control system of the present invention includes a safe assembly 3a, a central control unit 25, an off-site cash information server 31 and a communication network 21. The safe assembly 3a is configured to receive cash and track the cash received. One such example of a safe assembly is described in United States Patent Number 6,067,530, filed May 23, 2000, the disclosure of which is incorporated by reference herein. In one embodiment, the cash control system includes additional safe assemblies 3b-3n. In this embodiment, the safe assemblies are numerous and dispersed throughout a business establishment, e.g.,
25 a convenience store. The business establishment includes one or more cash registers. In one embodiment, a cash register is coupled to a safe assembly or provided next to a safe assembly. Each safe assembly is coupled to the communication network 21.

30 The central control unit 25 which is described in more detail later is also coupled to the communication network. As such, the communication network 21 provides bi-directional communication between the safe assemblies and the central control unit 25. In one specific embodiment, the communication network 21 is a computer network that includes network devices such as
35 routers, switches or repeaters, and Ethernet cables.

deposited, is then communicated from the currency reader to a safe controller 9a.

5 Preferably, an input device 17a, e.g., a controller keypad, is attached to the safe 5a or included as part of the safe 5a. The input device allows an operator, e.g., a cashier, to communicate with the safe assembly. In other embodiments, the input device includes a keyboard, a point-of-sale device, e.g.,
 10 a cash register, or a computing device, such as a handheld computer or a personal computer attached to the safe via a serial port, parallel port, infra-red port, wireless port and/or a network port.

In one embodiment, information is provided by an operator, e.g., the cashier, via the input device. For example, hot keys are provided with the input device. Hot keys are "one key" inputs of access codes or personal identification numbers that identifies a particular cashier. For instance, the cashier, having an access code of "5281", may press the hot key labeled
 15 "A" which enters the access code of "5281" without the cashier having to enter each digit. Once the access code is provided to the safe controller via the input device, the safe controller identifies the cashier by associating a unique cashier identification number to the cashier. Hence when the cashier
 20 deposits the cash into the safe 5a via a bill validator, the safe controller receives cash information and associates the cash information with the cashier. Therefore, the safe controller "knows" how much cash was deposited into the safe and by whom. The safe controller, in one embodiment, also identifies a date
 25 and time in which the cash was deposited. When multiple safe assemblies are used, the system can be set up so a cashier would use the same hot key across the network. Alternatively, the hot keys can be programmed to a single cashier at each safe assembly.

The cashier can also provide his/her access code using input
 35 devices that include, but are not limited to, voice recognition

devices, magnetic card readers and biometric devices. Also, via the input device, an operator is able to enter information regarding the contents of an envelope that contains currency. The safe controller based on the entered information determines the currency information, i.e., information regarding the currency placed in the envelope. For instance, the currency information includes the total amount of currency placed in the envelope, the specific number and denomination of the currency placed in the envelope, a date and a cashier identification number.

The safe controller, in one embodiment, associates a predetermined unique safe number with the safe 5a. The safe number uniquely identifies each safe and thus distinguishes one safe from another safe. The safe controller also associates the cash information with the unique safe number to also identify and distinguish cash deposited in one safe from cash deposited in another safe.

The safe controller transmits the cash information to the central control unit 25. The central control unit, in one embodiment, is a stand alone computing device located in the business establishment that receives information transmitted by each of the safe assemblies.

In one embodiment, the safe controller, along with the cash information, transmits the unique safe number, a shift number and/or an operator identifier, e.g., the cashier identification number. The central control unit stores the information transmitted by the safe controller with a date and time stamp in a database 13. Alternatively, the date and time stamp is determined and transmitted by the safe controller. The database 13 is stored in memory 15 coupled to the central control unit. The database includes a series of records. In one embodiment, multiple cash records are created and included in the database. Each cash record contains the information transmitted by the safe

controller which includes, but is not limited to, cash information, a unique safe number, a date and time stamp, a shift
5 number and/or an operator identifier, e.g., a cashier identification number.

Another input device, such as a check reader, e.g., a magnetic ink character recognition (MICR) reader, is also coupled to the central control unit 25. The MICR reader captures the
10 MICR line which identifies creditor information pertaining to a check, e.g., a bank branch number or a bank account. An operator, e.g., a store manager, enters the amount of the check via a keypad. This check information is transmitted to the central control unit. The central control unit transmits the
15 check information (the MICR line or equivalent plus amount) to the cash information server which can transmit the check information to a bank. The bank can use the check information to clear the check and thus debit the checking account associated with the check. Preferably, the central control unit generates
20 a cash record based on information provided by the MICR line and by the operator.

Alternatively, a check reader is included as an input device with each safe assembly. The check reader and the operator, e.g., a cashier, respectively, identify the information
25 pertaining to a check (the MICR line) and the check's amount which is communicated to the safe controller. The safe controller transmits this check information to the central control unit 25. The central control unit generates a cash record based on received check information. The central control
30 unit also transmits the check information to the cash information server which transmits the check information to a bank in order for the bank to clear the check.

Using an input device, an operator, e.g., a store manager, also identifies the end and/or beginning of a business day. For
35 example, the store manager presses a hot key or swipes a card key

to signify that a business day has ended. Alternatively, a calendar/clock determines the end of a business day. At the end
 5 of a business day, the central control unit collects the cash records generated for the business day to generate a deposit report. The deposit report, in one embodiment, includes a customer identification code, a date and time, number of envelope drops, and the amount of cash in the envelopes, such as the
 10 amount and/or number of checks deposited and the number and/or amount of food stamps collected. A tracking identifier and an alternate tracking identifier are also included with the deposit report. Based on whether cash has been withdrawn from the business establishment, e.g., by an armored car service, the
 15 central control unit adjusts at least one of the tracking identifiers associated with the deposit report. This process is described in more detail in reference to FIGS. 4 and 5.

At the end of a business day, the central control unit transmits the deposit report or reports to the cash information
 20 server. The central control unit, in one embodiment, stores each deposit report as a data file and sends each data file via one or more electronic mails to the cash information server. In one embodiment, the data files or the electronic mails are compressed and/or encrypted prior to transmission.

25 The cash information server is a computing device, such as a server, workstation, or personal computer, located externally from the business establishment, e.g., at an armored car service headquarters. The cash information server warehouses or stores the deposit reports provided by the central control unit. In
 30 other words, the cash information server receives and stores the electronic mails from the central control unit.

In one embodiment, a cash database server (not shown) is coupled to the cash information server. The cash database server is a computing device, such as a server, workstation, or personal
 35 computer, located externally from the business establishment,

e.g., at an armored car service headquarters. The cash information server sends the deposit reports to the cash database server. Preferably, the cash database server parses the information in the deposit reports into categories, such as cash, food stamps, checks, and envelope cash. The cash database server creates and stores individual deposit records for each category of information in a database.

Referring to FIG. 2 in conjunction with FIG. 1, the safe controller includes a network interface 33, a transmitter/receiver 35 and a local interface 37. The network interface couples the safe controller to the communication network 21. The transmitter/receiver provides a direct connection between the safe controller and the central control unit. The local interface couples the safe controller to the safe and the cash readers 7a-7n and the input device(s) 15a. In one embodiment, the local interface couples the safe controller to other input devices that receive cash, such as vending machines with bill validators and other devices that provide articles of value, such as lottery-ticket vending machines, telephone calling card machines, money order machines and postage machines. In one embodiment, the local interface couples the safe controller to a cash dispenser, such as a coin dispenser or an automated teller machine, attached to a safe assembly. An example of a coin dispenser is described in United States Patent Number 4,940,162, filed Oct. 17, 1988, the disclosure of which is incorporated by reference herein. In an alternate embodiment, the coin dispenser is included in at least one of the safe assemblies 3a-3n.

The safe controller also includes a processor 31. The processor or micro-controller is configured to identify the cash information provided by the cash reader. For example, the processor receives cash information from the cash reader via the local interface and transmits the cash information to the central

control unit. The processor 31 also monitors the condition of the safe. For example, the processor identifies when the safe is opened and closed or when an error or problem occurs in a cash reader and transmits this information to the central control unit 25 (FIG. 1).

In one specific example, but not limited to this example, the safe controller is an internal control board. The internal control board is coupled to bill acceptors and "hot keys" as previously described. Optionally, a door switch is also coupled to the internal control board to identify when the safe door is opened and closed. Furthermore, an electronic lock is also optionally coupled to the internal control board to lock and unlock the safe. The internal control board also includes a microcomputer coupled to communicate to the central control unit. In one specific example, but not limited to this example, a cable connects the internal control board and the central control unit via a multidrop RS485 bus. The central control unit associates each internal control board and, in one embodiment, each safe assembly with a unique identification code or address. In one specific example, but not limited to this example, the internal control board identifies each internal control board with a unique identification code based on the internal control board's location or connection on the RS485 bus.

The central control unit 25 may be designed in a similar manner as the safe controller 9a. Thus, the central control unit includes a network interface, a transmitter/receiver, a local interface and a processor. The network interface couples the central control unit to the communication network 21 and thus to the safe assemblies and the cash information server 31. The transmitter/receiver provides a direct connection between the central control unit and the cash information server. The local interface couples the central control unit to a check reader 19 and memory 15. In one embodiment, the central control unit, like

the safe controller, is coupled to currency readers 7a-7n and input device 15a via the local interface. In one embodiment, the local interface couples the central control unit to other input devices that receive cash, such as vending machines with bill validators and devices that provide articles of value, such as lottery-ticket vending machines.

Preferably, the central control unit 25 and/or the safe controller 9a are operationally coupled to an output device 11 (FIG. 1), such as a printer, liquid crystal display (LCD), cathode ray tube (CRT), and other types of displays or hardcopy production devices, via the local interface. The display or hardcopy provides a visual or paper representation of the deposit information. In one embodiment, the armored service that removes the deposited cash provides an output device that operationally couples to the central control unit and/or the safe controller via the local interface. The output device from the armored service can thereby receive the deposit information.

In one embodiment, the output device, operationally coupled to the central control unit and/or the safe controller, generates a receipt that includes a deposit bar code. The deposit bar code is an encoded representation of the deposit information. As such, a deposit bar code reader configured to interpret the deposit bar code is required to generate a visual or paper representation of the deposit information. The deposit bar code on a receipt allows deposit information to be rapidly and accurately transferred from a business establishment to a cash information server, e.g., a money room computer of an armored car service or a bank. The bar code deposit information can then be compared to the amount of cash actually picked up from the store after it has been counted.

In one embodiment, the central control unit and/or the safe controller, via the transmitter/receiver or the network interface, receives commands from an external source, such as the

cash information server, to perform maintenance on the central control unit and/or the safe controller or to update or modify control software. Control software includes, but is not limited to, instructions and data used by the central control unit, the safe controller, the cash readers and so on to perform their respective functions. Alternatively, the central control unit and/or the safe controller, via the local interface, may receive commands from an external source, such as a handheld computer to perform maintenance or to update or modify the control software.

In one embodiment, the central control unit is a control board including a microcomputer. In one specific example, but not limited to this example, the microcomputer is coupled via an RS485 bus. The microcomputer controls the operation of each safe assembly, such as locking or unlocking a safe. The control board may be also coupled to a keypad to receive information from a cashier and a display and a printer to provide feedback to the cashier. As such, in one embodiment, a user interface that includes a display, a keypad and touch memory is coupled with the control board.

A communication device, e.g., a modem, is also coupled to the control board to transmit information collected by the control board to a central office, such as an armored car service headquarters. In another embodiment, flash memory and/or touch memory is also coupled to or included on the control board. The flash memory includes software and/or data utilized by the microcomputer. A touch memory is a mobile memory chip that communicates by touch. In one example, but not limited to this example, the touch memory identifies or stores cashier identification numbers or data, such as deposit reports. Optionally, serial ports are included on the central control unit to allow additional output devices, such as printers or displays, input devices, such as keyboards or check readers, computing

devices, such as handheld computers, or communication devices, such as modems to be coupled to the control board.

5 In FIG. 3, another embodiment of the cash control system of the present invention is shown. In FIG. 3, devices and components corresponding to those already represented and described in FIG. 1 are indicated by the same reference numerals. The cash control system includes a host safe assembly 23. The
10 host safe assembly combines the functionality and devices and/or components of the central control unit 25 (FIG. 1) and the safe assembly 3a (FIG. 1). As such, the host safe assembly receives and stores cash to generate cash records. However, instead of transmitting cash records, the host safe assembly stores the cash
15 records in database 15. The host safe assembly also receives cash records from safe assemblies 3b-3n. The cash records are compiled as a deposit report and transmitted to the cash information server 31.

The safe assemblies of FIG. 3, are similar to the safe
20 assemblies described in FIG. 1. Some of the safe assemblies include memory that stores cash records and a processor that compiles the cash records to generate deposit reports. Each deposit report from each safe assembly is transmitted to the host safe assembly. The host safe assembly combines each deposit
25 report from each safe assembly with the cash records from the other safe assemblies to create a deposit report. An example of a new safe assembly is described in an application entitled "Loose Coin And Rolled Coin Dispenser", Docket No. A484:40688, filed October 20, 2000, incorporated herein by reference.

30 In FIG. 4, an exemplary operational graph is shown that includes time periods and indicators used in the tracking of cash collected and removed using the cash control system of the present invention. Along the y-axis of the diagram, actions, such as transmitting a report (e.g., a deposit report), are
35 illustrated. Along the x-axis of the diagram, events, such as

successive days (determined by a store manager or a calendar/clock), are illustrated. In other embodiments, other
 5 time periods such as shift times, hours or weeks, may be used. During each successive day, cash is collected throughout the day. The cash is collected, for example, by a cashier and deposited into a safe assembly. Information regarding the cash is transmitted from the safe assembly to a central control unit.

10 At the end of each day, the information regarding the cash deposited during the day is transmitted from the central control unit to a cash information server via one or more E-mail messages. Other manners of transmission may also be used such as a dial up file transfer or as described previously.
 15 Preferably, a tracking identifier and alternate tracking identifier are included in each E-mail, along with the store's cash information, i.e., the store number and the information regarding the cash deposited.

A tracking identifier is an indicator, e.g., a sequence
 20 number, that associates the cash collected for a given time period. For example, a sequence number of 0021 and an alternate sequence number of 0021 are associated with cash collected throughout day 1. While cash is collected during the day, an external event, such as an armored pickup 301 in which cash is
 25 withdrawn from the safe assembly, may occur. If no armored pickup occurs, then at the end of day 1, point A, an E-mail is sent that includes sequence number 0021 and the alternate sequence number 0021. The E-mail also includes the store number and the cash information X1 pertaining to the cash collected
 30 during day 1.

However, if an armored pickup did occur during the day (e.g., during day 2), the alternate sequence number is incremented by one. Thus, at point B during day 2, the alternate
 35 sequence number becomes 0022. In one embodiment, a print-out is generated that includes the cash information, the store number,

the sequence number and the alternate sequence number. The print-out is provided to the store manager as a receipt and a copy is kept by the armored car courier. The receipt provides an acknowledgment or proof that the cash in the safe assembly has now been withdrawn. The receipt also provides current cash information regarding the cash collected by the business establishment since the last armored pickup.

10 In other embodiments, the alternate sequence number may be adjusted in various other ways, such as increasing or decreasing by a predetermined constant or based on an arithmetic formula or function. In one embodiment, a particular code is utilized by an armored service to identify the armored service and open the safe. The central store processor recognizes this particular code and, thus the armored service, and adjusts the sequence numbers accordingly. Initially, in one embodiment, the sequence numbers are scanned in or determined by a deposit ticket. In another embodiment, the sequence numbers are initially determined by an operator entering a code via an input device.

Also, optionally, at point B', an E-mail is transmitted that includes sequence number 0021 and the adjusted alternate sequence number 0022. The E-mail also includes cash information Y2 pertaining to the cash collected during day 2 prior to the armored pickup.

At the end of day 2, point C, a first E-mail is preferably transmitted that includes sequence number 0021 and the adjusted alternate sequence number 0022. The first E-mail also includes cash information Y2 pertaining to cash collected during day 2 prior to the armored pickup. The sequence number is then adjusted to be identical to the alternate sequence number, i.e., 0022 and a second E-mail is transmitted that includes sequence number 0022 and the alternate sequence number 0022 (point D). The second E-mail includes cash information Z2 pertaining to cash collected during day 2 after the armored pick up. In this way,

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the two e-mails may be considered to determine the total cash
dropped into the safe during day 2. Of course, other variations
5 may be used to determine the amount of cash collected during day
2 and the amount of cash collected on day 2 before the pick up
and the amount of cash collected on day 2 after the pickup.

At the end of day 3, since no armored pickup occurred, the
sequence number and the alternate sequence number are not
10 adjusted. Thus, the sequence number 0022 and the alternate
sequence number 0022 are included with an E-mail that is
transmitted at the end of day 3 (point E). The E-mail also
includes cash information X3 pertaining to the cash collected
during day 3.

15 Thus, at the end of each business day, the sequence number
and the alternative sequence number included in an E-mail are
identical. However, if during the business day, cash has been
withdrawn by an armored service, another E-mail is sent that
includes a sequence number and an alternative sequence number
20 that differ.

Preferably, the E-mails described above, in one embodiment,
also include status indicators that notify the cash information
server that a problem with the safe assembly has occurred. The
cash information server as appropriate is able to dispatch a
25 repair agent to investigate the problem. Error codes, in one
embodiment, are included in the status indicators that allow the
cash information server to distinguish or identify a problem
prior to dispatching a repair agent. For instance, unique error
codes are assigned to a specific portion or module in the safe
30 assembly or a particular problem, such as identifying a faulty
sensor, a jam in the currency reader or a software "crash".

Also, a store identifier, such as a unique store
identification number, may be included in each E-mail. Thus, the
cash information server and/or cash database server is able to
35 associate the E-mails with a particular business establishment.

Thus, the cash information server and/or cash database server act as a repository collecting the cash information for a particular business establishment. The collection of currency information may then be sent to or retrieved by the armored car service on its perspective customers. As such, with each E-mail sent and the cash information collected based on the E-mails sent, an auditing agent or a business executive agent is provided a breakdown of the amount of cash collected each day.

For example, an auditing agent can use the cash information to reconcile and/or verify that the cash picked up by an armored car service from the business establishment corresponds to the cash deposited in a bank. The auditing agent may be an employee of the armored car service or a corporate level employee of the store. For example, a daily record of cash information can be transmitted from the cash information server or cash database server to the corporate level employee on a daily basis at the store's corporate headquarters or other desired location. Accordingly, cash information is quickly routed from a store to the armored car service headquarters, to the store's headquarters or alternatively, directly from the store (through the central control unit) to store headquarters through the store's independent network (e.g., the store POS system, back office PC or satellite). At the store's headquarters, the auditing agent can verify that all cash rung up for the previous day (e.g., in the stores POS system) is reconciled against the cash information report. Similarly, shift reports or cashier reports may be prepared and routed for appropriate time periods to permit reconciliation during such time periods. Additional reports may also be sent to a corporate level employee of the store to verify that cash has been properly deposited by the armored car service with the store's bank. Alternatively, the armored car service can make the daily cash information or verified reports available on a website that may be accessed by a corporate level employee.

In another embodiment, several stores, each having a central control unit or host safe assembly that accumulates store information for a given day, are connected to the off-site cash information server to create an information network via electronic feeds from the individual stores. The cash information server accumulates all of the stores' business day revenues. The cash information server or related cash database server can then provide a report reflecting all stores' business day revenues on a daily basis to the stores' corporate headquarters via electronic feed or otherwise. Such reports permit reconciliation of the store cash receipts and/or verification of bank deposits.

In another example, the bank may use the cash information to allow immediate authorization or use of the cash withdrawn from the business establishment, before the cash is deposited in the bank. Furthermore, in one example, the business executive may use the cash information to immediately identify the cash withdrawn from the business establishment and thus identify and "ear-mark" the cash available to be used in purchasing merchandise or investing in other business endeavors. In addition, the bank may give the store credit for cash in the safe assembly based on the daily reports, even before a cash pick up has occurred.

In a further embodiment that does not involve armored car pickup, the store manager can scan a deposit ticket by utilizing a MICR reader built into a safe assembly. The MICR reader captures the unique serial number that is encoded on the deposit ticket, and the deposit ticket can be discarded. The central control unit can utilize this serial number in all of its daily reports that it sends to the off-site cash information server. The cash information server or related cash database server can consolidate the reports, send them as a batch to the store's headquarters. This process would preferably occur daily. When

the store manager is ready to make a deposit to the bank he can scan another deposit ticket (which has it's own unique serial number) and prepare the deposit to go to the bank.

The reports that the central control unit sends to the cash information server each day preferably contains two sequence numbers, similar to that described above. On days when there is no money withdrawn for deposit to the bank the sequence number and the alternate sequence serial number are the same. On days when money is withdrawn for deposit to the bank, the sequence number would be that which has been used since the last withdrawal and the alternate sequence number would be the one that was last scanned by the MICR reader. Also, on the day a withdrawal is made there are actually two reports that are sent in the same file when the central control unit does its daily transmission. Using the fact that on withdrawal days the sequence number and the alternate sequence number are different on the first report and that the alternate sequence number is the same as the sequence number on the second report is what allows the store manager to understand which part of the money in the withdrawal belongs to which business date and to which deposit ticket.

The effect here is that the store manager can now reconcile the daily reports (using the unique sequence number) to the bank deposit (with the same unique serial number). The store manager is also able to balance actual store sales to the daily reports because the reports are based on the customer's business day (which matches the store computer system).

In FIG. 5, a process of identifying the cash that is collected in a business establishment using a cash control system is shown. In step 100, the central control unit determines if cash is being deposited into a safe assembly. If the central control unit determines that cash is being deposited, then in step 101, the central control unit determines information

regarding the deposited cash. In one embodiment, the cash information includes the amount of cash deposited, the current date, the current time, and identifiers associated with a shift, a business day and/or an operator who deposited the cash. In step 103, the central control unit generates a cash record based on the cash information determined. If no cash is being deposited, the central control unit proceeds directly from step 100 to step 105 described below.

After checking for deposited cash and processing any deposited cash as described above, the central control unit proceeds to step 105. In step 105, the central control unit determines if cash is being removed from a safe assembly, e.g., if an armored service has opened a safe and removed the cash. If, in step 105, the central control unit determines that currency is being removed from a safe, the central control unit adjusts a predetermined alternate sequence number in step 107. In the described embodiment, the central control unit increments the alternative sequence number by one. After adjusting the alternate sequence number in step 107, the central control unit generates a pickup deposit report in step 108. The pickup deposit report details how much currency had been deposited in the safe prior to the cash removal. If no cash is being removed, the central control unit proceeds directly from step 105 to step 109 described below.

After checking for removed cash and processing any removed cash as described above, the central control unit proceeds to step 109. In step 109, the central control unit determines if it is the end of the day. In this embodiment, if the central control unit determines that a business day is not over, then the central control unit returns to step 100 and begins the process again by determining if cash is being deposited into a safe.

In step 109, if the central control unit determines that the end of the day has arrived, then the central control unit checks

to see if the alternate sequence number equals the sequence number, in step 111. If the alternate sequence number does not equal the sequence number then currency has been removed from the safe and the central control unit adjusts the sequence number to match the alternate sequence number in step 113. If the alternate sequence number equals the sequence number, then the central control unit skips equalization step 113.

10 In an alternative embodiment, the pickup deposit report is not generated in step 108, but is generated after the central control unit determines that it is the end of the day in step 109. In the alternative embodiment, the central control unit generates the pickup deposit report prior to adjusting the sequence number in step 113.

15 Once the alternative sequence number equals the sequence number, the central control unit creates an end of day deposit report in step 115. In one embodiment, the deposit report includes a compilation of the cash records generated by the central control unit, in step 103, during the day. The deposit report also includes the predetermined sequence number and alternative sequence number. After the end of day deposit report is generated in step 115, the central control unit transmits all deposit reports to a cash information server in step 117.

25 Following transmittal of the deposit reports in step 117, the process returns to step 100, and begins the process again by determining if cash is being deposited into a safe. The process ends if the central control unit is commanded by an external input device to stop or a problem occurs in the central control unit or a safe assembly.

30 Attached as an Appendix are three reports, which give an example of the use of sequence numbers (i.e., serial number and alternate serial number). The Transaction by Business Date report shows amounts collected at end of day if there is no pickup (Mon. 6th) and amounts collected for the day before pickup

and amounts collected for the day after pickup (Tues. 7th). The Daily File report, which contains information that could be sent to a store's corporate headquarters daily to reconcile cash received at POS stations, shows cash collected in the safe each day. Food stamps, manual drops and checks could also be reported. The Verified File report identifies amounts deposited in the bank by serial number. Note that all records having the same serial number together represent total cash in the safe at the time of pickup.

Even though the devices and/or components, described in reference to the safe assembly, the central control unit and the host safe assembly, are described as separate items, the devices and/or components could be combined as one or more devices and/or components. Also, the devices and/or components, described in reference to the safe assembly, the central control unit and the host safe assembly, could be included inside, outside, or a combination thereof, of the safe assembly, the central control unit and the host safe assembly, respectively.

Accordingly, the present invention provides a system and method of tracking cash deposited into and withdrawn from a safe for a given work day using an electronic transmission of deposit information to a cash information server. Although this invention has been described in certain specific embodiments, many additional modifications and variations would be apparent to those skilled in the art. It is therefore to be understood that this invention may be practiced otherwise than as specifically described. Thus, the present embodiments of the invention should be considered in all respects as illustrative and not restrictive.

APPENDIX

Transaction By Business Date

<u>March</u>	<u>End of Day</u>	<u>A/C</u>	<u>Serial #</u>	<u>Alt Serial #</u>
Mon 6th	\$5,000		100	100
Tues 7th		\$2,000	100	101
Tues 7th	\$4,000		101	101
Wed 8th	\$7,000		101	101
Thurs 9th		\$3,000	101	102
Thurs 9th	\$5,000		102	102
Fri 10th	\$4,000		102	102
Sat 11th		\$1,000	102	103
Sat 11th	\$4,000		103	103
Sun 12th	\$6,000		103	103
Mon 13th	\$9,000		103	103
Tues 14th		\$4,000	103	104
Tues 14th	\$2,000		104	104
Wed 15th	\$8,000		104	104
Thurs 16th		\$2,000	104	105
Thurs 16th	\$3,000		105	105
Fri 17th	\$9,000		105	105
Sat 18th		\$6,000	105	106
Sat 18th	\$1,000		106	106
Sun 19th	\$7,000		106	106
Mon 20th	\$9,000		106	106
Tues 21st		\$2,000	106	107
Tues 21st	\$6,000		107	107

DAILY FILE

Business Date	Acceptor Cash	Serial #	Alt Serial #	Food Stamp	Manual Cash Drops	Checks
3/6	<u>Daily File on 3/7</u>					
	\$5,000	100	100			
	<u>Daily File on 3/8</u>					
3/7	\$2,000	100	101			
3/7	\$4,000	101	101			
	<u>Daily File on 3/9</u>					
3/8	\$7,000	101	101			
	<u>Daily File on 3/10</u>					
3/9	\$3,000	101	102			
3/9	\$5,000	102	102			
	<u>Daily File on 3/13</u>					
3/10	\$4,000	102	102			
3/11	\$1,000	102	103			
3/11	\$4,000	103	103			
3/12	\$6,000	103	103			
	<u>Daily File on 3/14</u>					
3/13	\$9,000	103	103			
	<u>Daily File on 3/15</u>					
3/14	\$4,000	103	104			
3/14	\$2,000	104	104			
	<u>Daily File on 3/16</u>					
3/15	\$8,000	104	104			
	<u>Daily File on 3/17</u>					
3/16	\$2,000	104	105			
3/16	\$3,000	105	105			
	<u>Daily File on 3/20</u>					
3/17	\$9,000	105	105			
3/18	\$6,000	105	106			
3/18	\$1,000	106	106			
3/19	\$7,000	106	106			
	<u>Daily File on 3/21</u>					
3/20	\$9,000	106	106			
	<u>Daily File on 3/22</u>					
3/21	\$2,000	106	107			
3/21	\$6,000	107	107			

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Verified File

<u>Business Date</u>	<u>Verified Acceptor Cash</u>	<u>Serial #</u>	<u>Alt. Serial #</u>	<u>Deposit Date</u>	<u>Food Stamps</u>	<u>Manual Cash Drops</u>
<u>Pick Up 7th Verified File on 3/9</u>						
3/6	\$5,000	100	100	3/8		
3/7	\$2,000	100	101	3/8		
<u>Pick Up 9th Verified File on 3/13</u>						
3/7	\$4,000	101	101	3/10		
3/8	\$7,000	101	101	3/10		
3/9	\$3,000	101	102	3/10		
<u>Pick Up 11th Verified File on 3/14</u>						
3/9	\$5,000	102	102	3/13		
3/10	\$4,000	102	102	3/13		
3/11	\$1,000	102	103	3/13		
<u>Pick Up 14th Verified File on 3/16</u>						
3/11	\$4,000	103	103	3/15		
3/12	\$6,000	103	103	3/15		
3/13	\$9,000	103	103	3/15		
3/14	\$4,000	103	104	3/15		
<u>Pick Up 16th Verified File on 3/20</u>						
3/14	\$2,000	104	104	3/17		
3/15	\$8,000	104	104	3/17		
3/16	\$2,000	104	105	3/17		
<u>Pick Up 18th Verified File on 3/21</u>						
3/16	\$3,000	105	105	3/20		
3/17	\$9,000	105	105	3/20		
3/18	\$6,000	105	106	3/20		
<u>Pick Up 21st Verified File on 3/23</u>						
3/18	\$1,000	106	106	3/22		
3/19	\$7,000	106	106	3/22		
3/20	\$9,000	106	106	3/22		
3/21	\$2,000	106	107	3/22		

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